

In the United States Patent and Trademark Office

15 DEC 1999

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Assistant Application

Assistant Commissioner for Patents

Washington, District of Columbia 20231

Please file the following enclosed patent application papers:

Applicant #1, Name: Vincent Ching Ping Li

Applicant #2, Name: Raymond Chong

Title: Method For Charting Financial Market Activities

Specification, Claims, and Abstract: Nr. of Sheets 37

Declaration: Date Signed: 15 Dec. 1999

Drawing(s): Nr. of Sheets Enc.: Formal: 19 Informal: nil

Small Entity Declaration of Inventor(s)  SED of Non-Inventor / Assignee / Licensee

Assignment enclosed with cover sheet and recordal fee; please record and return.

Check for \$ 618 .- for:

\$ 578 .- for filing fee (not more than three independent claims and twenty total claims are presented).

\$ 40 .- additional if Assignment is enclosed for recordal.

Information Disclosure Statement, Form PTO-1449, and listed references.

Disclosure Document Program reference letter.

Pursuant to 35 U.S.C. §119(e)(i), applicant(s) claim priority of Provisional Patent Application Ser. Nr. \_\_\_\_\_ filed \_\_\_\_\_

Return Receipt Postcard Addressed to Applicant #1.

Request Under MPEP § 707.07(j): The undersigned, a pro se applicant, respectfully requests that if the Examiner finds patentable subject matter disclosed in this application, but feels that Applicant's present claims are not entirely suitable, the Examiner draft one or more allowable claims for applicant.

Very respectfully,

Applicant #1 Signature

1601 Yue Xie Bldg.,

Address (Send Correspondence Here)

160-174 Lockhart Rd., Wanchai

Hong Kong.

Applicant #2 Signature

1601 Yue Xie Bldg.,

Address

160-174 Lockhart Rd., Wanchai

Hong Kong.

Express Mail Label #

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JC135 U.S. PTO  
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Box Patent Application  
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Chong

Applicant #1 Signature



Applicant #2 Signature

1601 Yue Xie Bldg.,  
Address (Send Correspondence Here)

1601 Yue Xie Bldg.,  
Address

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Fee Transmittal

First-Named Applicant Vincent Ching Ping Li  
Title of Invention: " Method For Charting Financial Market Activities  
Total Payment Enclosed (From Calculation Below): \$ 578.-  Check  Money Order

Sir:

Enclosed is the following small entity filing fee for the above patent application:

<b>Fee Code</b>	<b>Fee Description</b>	<b>Fee (\$)</b>
214	Provisional Pat. Appn. Filing Fee	
201	Basic Utility Appn. Filing Fee	<u>380.-</u>
206	Basic Design Appn. Filing Fee	
	Subtotal (1)	<u>380.-</u>
203	Total Claims: <u>42</u> - 20 = <u>22</u> ; X <u>9</u> (fee for each claim over 20) = <u>198.-</u>	
202	Tot. Indep. Claims <u>  </u> - 3 = <u>  </u> ; X <u>  </u> (fee for each indep. claim over 3) = <u>  </u>	
	Subtotal (2)	<u>198.-</u>
	<b>Total Payment Enclosed [Sum of Subtotals (1) and (2)]</b>	<u>578.-</u>

Very respectfully,

  
Signature of First-Named Applicant

Vincent Ching Ping Li

Print Name of First-Named Applicant

Rm.1601 Yue Xie Bldg.,

Address

160-174 Lockhart Rd., Wanchai. Hong Kong.